

Provably Correct Peephole Optimizations with Alive

Nuno Lopes
MSR

David Menendez
Santosh Nagarakatte
Rutgers Univ.

John Regehr
Univ. of Utah

Compilers are Buggy

- Csmith [PLDI'11]:
 - 79 bugs in GCC (25 P1)
 - 202 bugs in LLVM
 - 2 wrong-code bugs in CompCert
- Orion [PLDI'14]:
 - 40 wrong-code bugs in GCC
 - 42 wrong-code bugs in LLVM
- Last Week:
 - 439 open wrong-code bug reports in GCC (out of 9,691)
 - 24 open wrong-code bug reports in LLVM (out of 6,761)

Buggy Compilers = Security Bugs

- CVE-2006-1902
- GCC 4.1/4.2 (fold-const.c) had a bug that could remove valid pointer comparisons
- Result: Removed some bounds checks from programs

Peephole Optimizers are Particularly Buggy

- LLVM's InstCombine (a peephole optimizer) had the most bugs reported by fuzzing tools
- InstCombine has 20,000 lines of C++
- Semantics of LLVM IR are tricky; InstCombine exploits the corner cases to improve performance:
 - Undefined behavior, poison values, undefined values, overflows, ...

Optimizations are Easy to Get Wrong

```
int a = x << c;  
int b = a / d;
```



```
int t = d / (1 << c);  
int b = x / t;
```

$$x * 2^c / d$$

$$\begin{aligned} x / (d / 2^c) &= x / d * 2^c \\ &= x * 2^c / d \end{aligned}$$

(c and d are constants)

Optimizations are Easy to Get Wrong

```
int a = x << c;  
int b = a / d;
```



```
int t = d / (1 << c);  
int b = x / t;
```

```
ERROR: Domain of definedness of Target is smaller  
than Source's for i4 %b
```

Example:

```
%X i4 = 0x0 (0)  
c i4 = 0x3 (3)  
d i4 = 0x7 (7)  
%a i4 = 0x0 (0)  
(1 << c) i4 = 0x8 (8, -8)  
%t i4 = 0x0 (0)  
Source value: 0x0 (0)  
Target value: undef
```

LLVM bug #21245

Implementing Peephole Optimizers

```
{
  Value *Op1C = Op1;
  BinaryOperator *BO = dyn_cast<BinaryOperator>(Op0);
  if (!BO ||
      (BO->getOpcode() != Instruction::UDiv &&
       BO->getOpcode() != Instruction::SDiv)) {
    Op1C = Op0;
    BO = dyn_cast<BinaryOperator>(Op1);
  }
  Value *Neg = dyn_castNegVal(Op1C);
  if (BO && BO->hasOneUse() &&
      (BO->getOperand(1) == Op1C || BO->getOperand(1) == Neg) &&
      (BO->getOpcode() == Instruction::UDiv ||
       BO->getOpcode() == Instruction::SDiv)) {
    Value *Op0BO = BO->getOperand(0), *Op1BO = BO->getOperand(1);

    // If the division is exact, X % Y is zero, so we end up with X or -X.
    if (PossiblyExactOperator *SDiv = dyn_cast<PossiblyExactOperator>(BO))
      if (SDiv->isExact()) {
        if (Op1BO == Op1C)
          return ReplaceInstUsesWith(I, Op0BO);
        return BinaryOperator::CreateNeg(Op0BO);
      }

    Value *Rem;
    if (BO->getOpcode() == Instruction::UDiv)
      Rem = Builder->CreateURem(Op0BO, Op1BO);
    else
      Rem = Builder->CreateSRem(Op0BO, Op1BO);
    Rem->takeName(BO);

    if (Op1BO == Op1C)
      return BinaryOperator::CreateSub(Op0BO, Rem);
    return BinaryOperator::CreateSub(Rem, Op0BO);
  }
}
```

Alive

- New language and tool for:
 - Specifying peephole optimizations
 - Proving them correct (or generate a counterexample)
 - Generating C++ code for a compiler
- Design point: both practical and formal



A Simple Peephole Optimization

```
{
  Value *Op1C = Op1;
  BinaryOperator *BO = dyn_cast<BinaryOperator>(Op0);
  if (!BO ||
      (BO->getOpcode() != Instruction::UDiv &&
       BO->getOpcode() != Instruction::SDiv)) {
    Op1C = Op0;
    BO = dyn_cast<BinaryOperator>(Op1);
  }
  Value *Neg = dyn_castNegVal(Op1C);
  if (BO && BO->hasOneUse() &&
      (BO->getOperand(1) == Op1C || BO->getOperand(1) == Neg) &&
      (BO->getOpcode() == Instruction::UDiv ||
       BO->getOpcode() == Instruction::SDiv)) {
    Value *Op0BO = BO->getOperand(0), *Op1BO = BO->getOperand(1);

    // If the division is exact, X % Y is zero, so we end up with X or -X.
    if (PossiblyExactOperator *SDiv = dyn_cast<PossiblyExactOperator>(BO))
      if (SDiv->isExact()) {
        if (Op1BO == Op1C)
          return ReplaceInstUsesWith(I, Op0BO);
        return BinaryOperator::CreateNeg(Op0BO);
      }

    Value *Rem;
    if (BO->getOpcode() == Instruction::UDiv)
      Rem = Builder->CreateURem(Op0BO, Op1BO);
    else
      Rem = Builder->CreateSRem(Op0BO, Op1BO);
    Rem->takeName(BO);

    if (Op1BO == Op1C)
      return BinaryOperator::CreateSub(Op0BO, Rem);
    return BinaryOperator::CreateSub(Rem, Op0BO);
  }
}
```

```
int f(int x, int y) {
    return (x / y) * y;
}
```



Compile to LLVM IR

```
define i32 @f(i32 %x, i32 %y) {
    %1 = sdiv i32 %x, %y
    %2 = mul i32 %1, %y
    ret i32 %2
}
```



Optimize

```
define i32 @f(i32 %x, i32 %y) {
    %1 = srem i32 %x, %y
    %2 = sub i32 %x, %1
    ret i32 %2
}
```

A Simple Peephole Optimization

```
{
  Value *Op1C = Op1;
  BinaryOperator *BO = dyn_cast<BinaryOperator>(Op0);
  if (!BO ||
      (BO->getOpcode() != Instruction::UDiv &&
       BO->getOpcode() != Instruction::SDiv)) {
    Op1C = Op0;
    BO = dyn_cast<BinaryOperator>(Op1);
  }
  Value *Neg = dyn_castNegVal(Op1C);
  if (BO && BO->hasOneUse() &&
      (BO->getOperand(1) == Op1C || BO->getOperand(1) == Neg) &&
      (BO->getOpcode() == Instruction::UDiv ||
       BO->getOpcode() == Instruction::SDiv)) {
    Value *Op0B0 = BO->getOperand(0), *Op1B0 = BO->getOperand(1);

    // If the division is exact, X % Y is zero, so we end up with X or -X.
    if (PossiblyExactOperator *SDiv = dyn_cast<PossiblyExactOperator>(BO))
      if (SDiv->isExact()) {
        if (Op1B0 == Op1C)
          return ReplaceInstUsesWith(I, Op0B0);
        return BinaryOperator::CreateNeg(Op0B0);
      }

    Value *Rem;
    if (BO->getOpcode() == Instruction::UDiv)
      Rem = Builder->CreateURem(Op0B0, Op1B0);
    else
      Rem = Builder->CreateSRem(Op0B0, Op1B0);
    Rem->takeName(BO);

    if (Op1B0 == Op1C)
      return BinaryOperator::CreateSub(Op0B0, Rem);
    return BinaryOperator::CreateSub(Rem, Op0B0);
  }
}
```

```
define i32 @f(i32 %x, i32 %y) {
  %1 = sdiv i32 %x, %y
  %2 = mul i32 %1, %y
  ret i32 %2
}
```

=>

```
define i32 @f(i32 %x, i32 %y) {
  %1 = srem i32 %x, %y
  %2 = sub i32 %x, %1
  ret i32 %2
}

define i32 @f(i32 %x, i32 %y) {
  %1 = srem i32 %x, %y
  %2 = sub i32 %x, %1
  ret i32 %2
}
```

A Simple Peephole Optimization

```
{
  Value *Op1C = Op1;
  BinaryOperator *BO = dyn_cast<BinaryOperator>(Op0);
  if (!BO ||
      (BO->getOpcode() != Instruction::UDiv &&
       BO->getOpcode() != Instruction::SDiv)) {
    Op1C = Op0;
    BO = dyn_cast<BinaryOperator>(Op1);
  }
  Value *Neg = dyn_castNegVal(Op1C);
  if (BO && BO->hasOneUse() &&
      (BO->getOperand(1) == Op1C || BO->getOperand(1) == Neg) &&
      (BO->getOpcode() == Instruction::UDiv ||
       BO->getOpcode() == Instruction::SDiv)) {
    Value *Op0BO = BO->getOperand(0), *Op1BO = BO->getOperand(1);

    // If the division is exact, X % Y is zero, so we end up with X or -X.
    if (PossiblyExactOperator *SDiv = dyn_cast<PossiblyExactOperator>(BO))
      if (SDiv->isExact()) {
        if (Op1BO == Op1C)
          return ReplaceInstUsesWith(I, Op0BO);
        return BinaryOperator::CreateNeg(Op0BO);
      }

    Value *Rem;
    if (BO->getOpcode() == Instruction::UDiv)
      Rem = Builder->CreateURem(Op0BO, Op1BO);
    else
      Rem = Builder->CreateSRem(Op0BO, Op1BO);
    Rem->takeName(BO);

    if (Op1BO == Op1C)
      return BinaryOperator::CreateSub(Op0BO, Rem);
    return BinaryOperator::CreateSub(Rem, Op0BO);
  }
}
```

```
%1 = sdiv i32 %x, %y
%2 = mul i32 %1, %y
```

=>

```
%t = srem i32 %x, %y
%2 = sub i32 %x, %t
```

A Simple Peephole Optimization

```
{
  Value *Op1C = Op1;
  BinaryOperator *BO = dyn_cast<BinaryOperator>(Op0);
  if (!BO ||
      (BO->getOpcode() != Instruction::UDiv &&
       BO->getOpcode() != Instruction::SDiv)) {
    Op1C = Op0;
    BO = dyn_cast<BinaryOperator>(Op1);
  }
  Value *Neg = dyn_castNegVal(Op1C);
  if (BO && BO->hasOneUse() &&
      (BO->getOperand(1) == Op1C || BO->getOperand(1) == Neg) &&
      (BO->getOpcode() == Instruction::UDiv ||
       BO->getOpcode() == Instruction::SDiv)) {
    Value *Op0BO = BO->getOperand(0), *Op1BO = BO->getOperand(1);

    // If the division is exact, X % Y is zero, so we end up with X or -X.
    if (PossiblyExactOperator *SDiv = dyn_cast<PossiblyExactOperator>(BO))
      if (SDiv->isExact()) {
        if (Op1BO == Op1C)
          return ReplaceInstUsesWith(I, Op0BO);
        return BinaryOperator::CreateNeg(Op0BO);
      }

    Value *Rem;
    if (BO->getOpcode() == Instruction::UDiv)
      Rem = Builder->CreateURem(Op0BO, Op1BO);
    else
      Rem = Builder->CreateSRem(Op0BO, Op1BO);
    Rem->takeName(BO);

    if (Op1BO == Op1C)
      return BinaryOperator::CreateSub(Op0BO, Rem);
    return BinaryOperator::CreateSub(Rem, Op0BO);
  }
}
```

```
%1 = sdiv i32 %x, %y
%2 = mul i32 %1, %y
=>
%t = srem i32 %x, %y
%2 = sub i32 %x, %t
```

A Simple Peephole Optimization

```
{
  Value *Op1C = Op1;
  BinaryOperator *BO = dyn_cast<BinaryOperator>(Op0);
  if (!BO ||
      (BO->getOpcode() != Instruction::UDiv &&
       BO->getOpcode() != Instruction::SDiv)) {
    Op1C = Op0;
    BO = dyn_cast<BinaryOperator>(Op1);
  }
  Value *Neg = dyn_castNegVal(Op1C);
  if (BO && BO->hasOneUse() &&
      (BO->getOperand(1) == Op1C || BO->getOperand(1) == Neg) &&
      (BO->getOpcode() == Instruction::UDiv ||
       BO->getOpcode() == Instruction::SDiv)) {
    Value *Op0BO = BO->getOperand(0), *Op1BO = BO->getOperand(1);

    // If the division is exact, X % Y is zero, so we end up with X or -X.
    if (PossiblyExactOperator *SDiv = dyn_cast<PossiblyExactOperator>(BO))
      if (SDiv->isExact()) {
        if (Op1BO == Op1C)
          return ReplaceInstUsesWith(I, Op0BO);
        return BinaryOperator::CreateNeg(Op0BO);
      }

    Value *Rem;
    if (BO->getOpcode() == Instruction::UDiv)
      Rem = Builder->CreateURem(Op0BO, Op1BO);
    else
      Rem = Builder->CreateSRem(Op0BO, Op1BO);
    Rem->takeName(BO);

    if (Op1BO == Op1C)
      return BinaryOperator::CreateSub(Op0BO, Rem);
    return BinaryOperator::CreateSub(Rem, Op0BO);
  }
}
```

```
%1 = sdiv %x, %y
%2 = mul %1, %y
=>
%t = srem %x, %y
%2 = sub %x, %t
```

A Simple Peephole Optimization

```
{
  Value *Op1C = Op1;
  BinaryOperator *BO = dyn_cast<BinaryOperator>(Op0);
  if (!BO ||
      (BO->getOpcode() != Instruction::UDiv &&
       BO->getOpcode() != Instruction::SDiv)) {
    Op1C = Op0;
    BO = dyn_cast<BinaryOperator>(Op1);
  }
  Value *Neg = dyn_castNegVal(Op1C);
  if (BO && BO->hasOneUse() &&
      (BO->getOperand(1) == Op1C || BO->getOperand(1) == Neg) &&
      (BO->getOpcode() == Instruction::UDiv ||
       BO->getOpcode() == Instruction::SDiv)) {
    Value *Op0BO = BO->getOperand(0), *Op1BO = BO->getOperand(1);

    // If the division is exact, X % Y is zero, so we end up with X or -X.
    if (PossiblyExactOperator *SDiv = dyn_cast<PossiblyExactOperator>(BO))
      if (SDiv->isExact()) {
        if (Op1BO == Op1C)
          return ReplaceInstUsesWith(I, Op0BO);
        return BinaryOperator::CreateNeg(Op0BO);
      }

    Value *Rem;
    if (BO->getOpcode() == Instruction::UDiv)
      Rem = Builder->CreateURem(Op0BO, Op1BO);
    else
      Rem = Builder->CreateSRem(Op0BO, Op1BO);
    Rem->takeName(BO);

    if (Op1BO == Op1C)
      return BinaryOperator::CreateSub(Op0BO, Rem);
    return BinaryOperator::CreateSub(Rem, Op0BO);
  }
}
```

Name: sdiv general

%1 = sdiv %x, %y

%2 = mul %1, %y

=>

%t = srem %x, %y

%2 = sub %x, %t

Name: sdiv exact

%1 = sdiv exact %x, %y

%2 = mul %1, %y

=>

%2 = %x

Alive Language

Precondition

Pre: $C2 \% (1 \ll C1) == 0$

$\%s = \text{shl } nsw \%X, C1$

$\%r = \text{sdiv } \%s, C2$

\Rightarrow

$\%r = \text{sdiv } \%X, C2 / (1 \ll C1)$

Source template

Target template

Predicates in preconditions may be the result of a dataflow analysis.

Alive Language

```
Pre: C2 % (1<<C1) == 0
```

```
%s = shl nsw %X, C1
```

```
%r = sdiv %s, C2
```

```
=>
```

```
%r = sdiv %X, C2/(1<<C1)
```

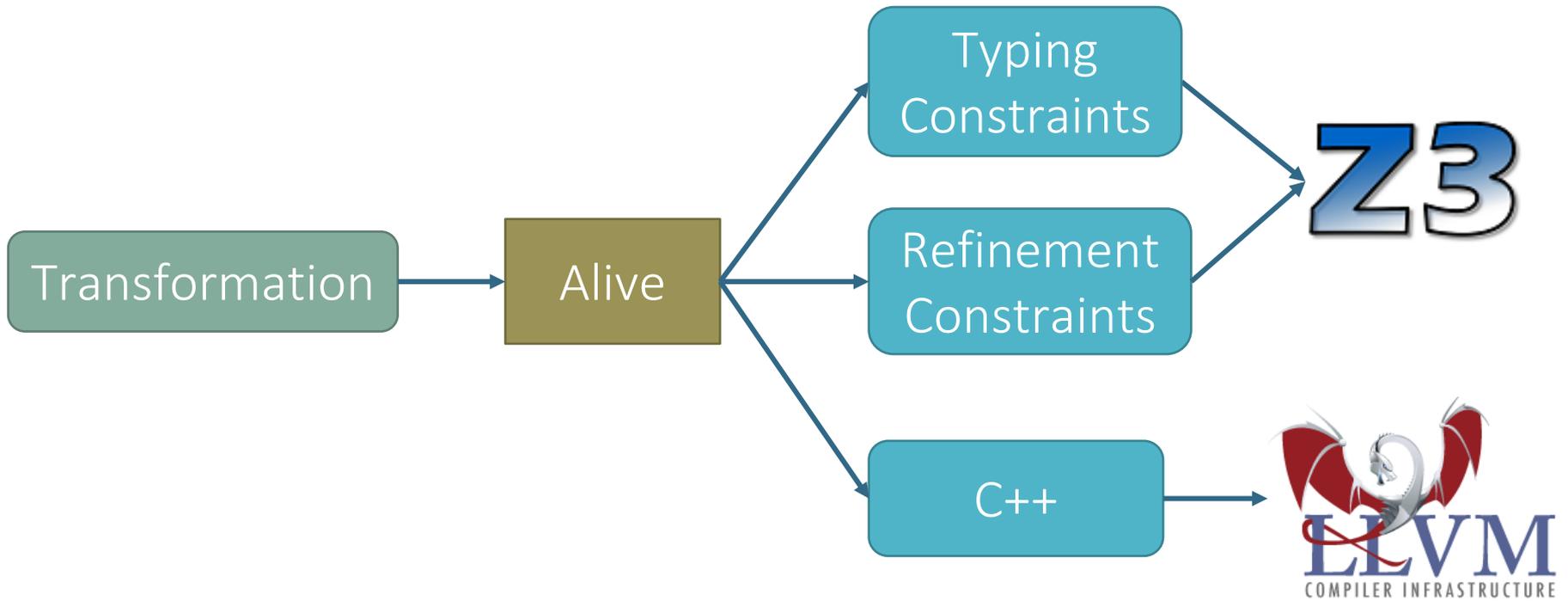


Constants

Generalized from LLVM IR:

- Symbolic constants
- Implicit types

Alive



Correctness Criteria

1. Target invokes undefined behavior only when the source does
2. Result of target = result of source when source does not invoke undefined behavior
3. Final memory states are equivalent

LLVM has 3 types of UB:

- Poison values
- Undef values
- True UB

See paper for more details

The story of a new optimization

A developer wrote a new optimization that improves benchmarks:

- 3.8% perlbnk (SPEC CPU 2000)

- 1% perlbench (SPEC CPU 2006)

- 1.2% perlbench (SPEC CPU 2006) w/ LTO+PGO

40 lines of code

August 2014

The story of a new optimization

- The first patch was wrong

```
Pre: isPowerOf2(C1 ^ C2)
%x = add %A, C1
%i = icmp ult %x, C3
%y = add %A, C2
%j = icmp ult %y, C3
%r = or %i, %j
=>
%and = and %A, ~(C1 ^ C2)
%lhs = add %and, umax(C1, C2)
%r = icmp ult %lhs, C3
```

ERROR: Mismatch in values of %r

Example:

```
%A i4 = 0x0 (0)
C1 i4 = 0xA (10, -6)
C3 i4 = 0x5 (5)
C2 i4 = 0x2 (2)
%x i4 = 0xA (10, -6)
%i i1 = 0x0 (0)
%y i4 = 0x2 (2)
%j i1 = 0x1 (1, -1)
%and i4 = 0x0 (0)
%lhs i4 = 0xA (10, -6)
Source value: 0x1 (1, -1)
Target value: 0x0 (0)
```

The story of a new optimization

- The second patch was wrong
- The third patch was correct!
- Still fires on the benchmarks!

```
Pre: C1 u> C3 &&  
      C2 u> C3 &&  
      isPowerOf2(C1 ^ C2) &&  
      isPowerOf2(-C1 ^ -C2) &&  
      (-C1 ^ -C2) == ((C3-C1) ^ (C3-C2)) &&  
      abs(C1-C2) u> C3  
%x = add %A, C1  
%i = icmp ult %x, C3  
%y = add %A, C2  
%j = icmp ult %y, C3  
%r = or %i, %j  
=>  
%and = and %A, ~(C1^C2)  
%lhs = add %and, umax(C1,C2)  
%r = icmp ult %lhs, C3
```

Experiments

1. Translated > 300 optimizations from LLVM's InstCombine to Alive. Found 8 bugs; remaining proved correct.
2. Automatic optimal post-condition strengthening
Significantly better than developers
3. Replaced InstCombine with automatically generated code

InstCombine: Stats per File

File	# opts.	# translated	# bugs
AddSub	67	49	2
AndOrXor	165	131	0
Calls	80	-	-
Casts	77	-	-
Combining	63	-	-
Compares	245	-	-
LoadStoreAlloca	28	17	0
MulDivRem	65	44	6
PHI	12	-	-
Select	74	52	0
Shifts	43	41	0
SimplifyDanded	75	-	-
VectorOps	34	-	-
Total	1,028	334	8

← 14% wrong!

Optimal Attribute Inference

```
Pre: C1 % C2 == 0
%m = mul nsw %X, C1
%r = sdiv %m, C2
=>
%r = mul nsw %X, C1/C2
```

States that the operation will not result in a signed overflow

Optimal Attribute Inference

- Weakened 1 precondition
- Strengthened the postcondition for 70 (21%) optimizations
40% for AddSub, MulDivRem, Shifts
- Postconditions state, e.g., when an operation will not overflow

Alive is Useful!

- Released as open-source in Fall 2014
- In use by developers across 6 companies
- Already caught dozens of bugs in new patches
- Talks about replacing InstCombine

Conclusion

- (Peephole) optimizers are huge and buggy
- Presented Alive, a DSL+tool to specify peephole optimizations
 - Usable by compiler developers (easy to learn; friendly interface)
 - Automatic verification
 - Generates C++ implementation automatically
- Available from <https://github.com/nunoplopes/alive/>





Instruction Attributes

Instructions may become poison:

NSW: no signed wrap

NUW: no unsigned wrap

Exact: lossless operation

Essential for optimization, but extremely hard to reason by hand

Valid Associativity

```
%t = add %A, %B  
%r = add %t, %C
```



```
%t = add %B, %C  
%r = add %A, %t
```

$$(A + B) + C = A + (B + C)$$

Associativity w/ NSW

%A = 50

%B = -50

%C = -100

```
%t = add nsw i8 %A, %B  
%r = add nsw i8 %t, %C
```



```
%t = add nsw i8 %B, %C  
%r = add nsw i8 %A, %t
```

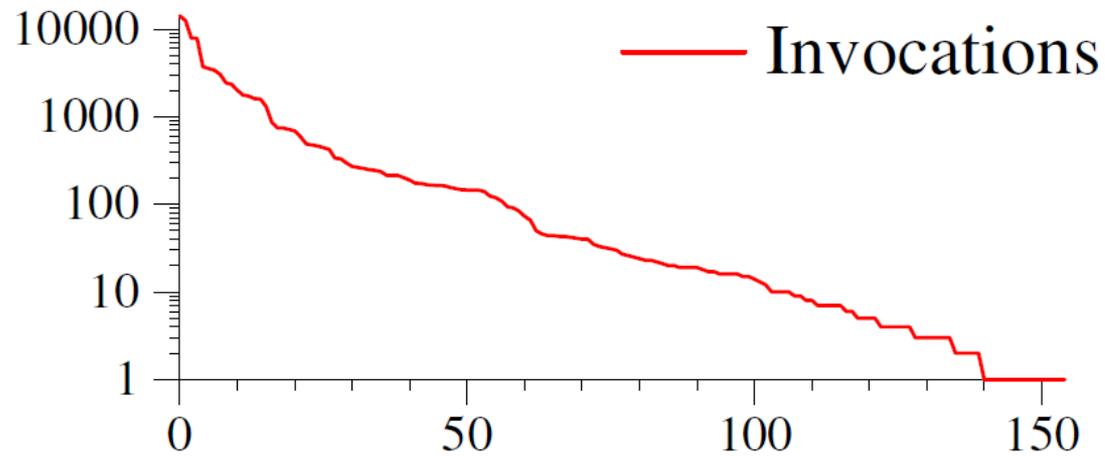
%t = 0

%r = -100

%t = poison (-150)

%r = poison

Long Tail of Optimizations



SPEC gives poor code coverage

Definedness Constraints

Instruction	Definedness Constraint
<code>sdiv a, b</code>	$b \neq 0 \wedge (a \neq INT_MIN \vee b \neq -1)$
<code>udiv a, b</code>	$b \neq 0$
<code>srem a, b</code>	$b \neq 0 \wedge (a \neq INT_MIN \vee b \neq -1)$
<code>urem a, b</code>	$b \neq 0$
<code>shl a, b</code>	$b <_u B$
<code>lshr a, b</code>	$b <_u B$
<code>ashr a, b</code>	$b <_u B$

Poison-free Constraints

Instruction	Constraints for Poison-free execution
add nsw a, b add nuw a, b	$SExt(a, 1) + SExt(b, 1) = SExt(a + b, 1)$ $ZExt(a, 1) + ZExt(b, 1) = ZExt(a + b, 1)$
sub nsw a, b sub nuw a, b	$SExt(a, 1) - SExt(b, 1) = SExt(a - b, 1)$ $ZExt(a, 1) - ZExt(b, 1) = ZExt(a - b, 1)$
mul nsw a, b mul nuw a, b	$SExt(a, B) \times SExt(b, B) = SExt(a \times b, B)$ $ZExt(a, B) \times ZExt(b, B) = ZExt(a \times b, B)$
sdiv exact a, b udiv exact a, b	$(a \div b) \times b = a$ $(a \div_u b) \times b = a$
shl nsw a, b shl nuw a, b	$(a \ll b) \gg b = a$ $(a \ll b) \gg_u b = a$
ashr exact a, b lshr exact a, b	$(a \gg b) \ll b = a$ $(a \gg_u b) \ll b = a$

PR20186: wrong value

```
%div = sdiv %x, C
```

```
%r    = sub 0, %div
```

```
=>
```

```
%r    = sdiv %x, -C
```

PR20189: introduce poison value

`%B = sub 0, %A`

`%C = sub nsw %X, %B`

`=>`

`%C = add nsw %X, %A`

PR21242: introduce poison value

```
Pre: isPowerOf2(C1)
```

```
%r = mul nsw %x, C1
```

```
=>
```

```
%r = shl nsw %x, log2(C1)
```

PR21243: wrong value

```
Pre: !WillNotOverflowSignedMul(C1, C2)
```

```
%Op0 = sdiv %X, C1
```

```
%r = sdiv %Op0, C2
```

```
=>
```

```
%r = 0
```

PR21245: wrong value

```
Pre: C2 % (1<<C1) == 0
```

```
%s = shl nsw %X, C1
```

```
%r = sdiv %s, C2
```

```
=>
```

```
%r = sdiv %X, (C2 / (1 << C1))
```

PR21255: introduce undef behavior

```
%Op0 = lshr %X, C1
```

```
%r = udiv %Op0, C2
```

=>

```
%r = udiv %X, (C2 << C1)
```

PR21256: introduce undef behavior

```
%Op1 = sub 0, %X
```

```
%r = srem %Op0, %Op1
```

=>

```
%r = srem %Op0, %X
```

PR21274: introduce undef behavior

```
Pre: isPowerOf2(%Power)
```

```
%shl = shl %Power, %A
```

```
%Y = lshr %shl, %B
```

```
%r = udiv %X, %Y
```

```
=>
```

```
%sub = sub %A, %B
```

```
%Y = shl %Power, %sub
```

```
%r = udiv %X, %Y
```

Precondition Predicates

equivalentAddressValues

isPowerOf2

isPowerOf2OrZero

isShiftedMask

isSignBit

MaskedValuesIsZero

WillNotOverflowSignedAdd

WillNotOverflowUnsignedAdd

WillNotOverflowSignedSub

WillNotOverflowUnsignedSub

WillNotOverflowSignedMul

WillNotOverflowUnsignedMul

WillNotOverflowUnsignedShl