

# Dynamic Programming IV [MIT OpenCourseware 6.006]

- 5 easy steps to DP:

① define subproblems

# subproblems  $\leq$

② Guess / Try part of solution

# guesses  $\leq$

③ Recurrence

④ Recurse + Memoize

⑤ Solve original problem

- Question: What types of guessing have we seen?

- Prefix

- Suffix

- Substring

- Today we will see an additional type;

- In step 2 we are guessing which subproblem to use in order to solve the original problem

- But there is a higher-level:

In step 1 we can add more subproblems to guess/remember more

This happened on the knapsack problem:

- The obvious solution was to use suffixes;
- But using suffixes alone was insufficient to solve the problem
- We also need the ability to remember how much capacity remained.
- Let's look at some examples

### Piano / Guitar Fingering -

- Given sequence of n notes, find fingering for each note
- By fingering we mean: which fingers should be used to play each note since some transitions are easier than others.

- For humans we have 1, 2, 3, ..., 10
- Generalizing, fingers : 1, ..., F
- To keep it simple assume:
  - Only a single note on the piano
  - Only the right-hand is used
- Objective:

Assign one of each finger to each note
- But we also need to express the difficulty of being on a certain note that is being played with a certain finger and we want to transition another note using another finger.
- Question:

How can we express this difficulty?
- We can define a function:
 
$$d(p, f, q, g)$$


 $p$  - first note  
 $f$  - finger that is playing note  $p$   
 $q$  - note that we want to transition  
 $g$  - finger that will play note  $q$

→ There is a huge literature for this on piano!, e.g.:

- If  $p \ll q$  then you need to stretch your fingers a lot, which is hard!
  - If you are playing legato the player transitions from one note to another note with no intervening silence:
    - You cannot use the same finger for the same note
    - This implies that if  $f == g$  then  $p = q$
  - Weak finger rule: avoid the two rightmost fingers (fingers 4 2 5) of <sup>right-hand</sup> right hand
  - Many more other examples, including for guitar
  - These transitions can be encoded into a Table that represents function  $d$
- Let's try to solve this using DP:

- We have a sequence of notes and we want to find the sequence of fingers

Question: — If we have a sequence of something what can we do?

↳ Always the same answer: { prefixes  
                  suffixes  
                  substrings }

Question: — Which one do you think it will be?

- Intuitively: We want to process the sequence of notes from left to right  $\Rightarrow$  suffices

Note: This initial formulation is wrong! It is used to exemplify a problem

① Subproblem = how to play notes $[i:]$

② guess = which finger to use for note  $i$

③ recurrence: (we want to minimize difficulty)

$$DP(i) = \min \left( \underbrace{DP[i+1]}_{\text{play remaining notes}} + d(i, f, i+1, ?) \right)$$

for  $f$  in  $1, \dots, F$

for all the fingers

We are playing note  $i$  with finger  $f$  then we have to go to note  $i+1$  but then the problem is we have no idea what finger we are going to guess for note  $i+1$

$\therefore$  We cannot solve the problem this way!!!

$\hookrightarrow$  But it was the first thing we should try

- We need to add more subproblems...

**Question:** Any guesses to what we can do for subproblems?

① Subproblems = how to play notes  $[i:]$  when using finger  $f$  for notes  $[i]$

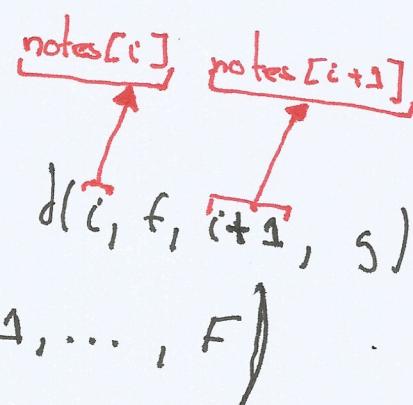
$$\begin{matrix} \#\text{notes} = n \\ \#\text{fingers} = F \end{matrix} \Rightarrow \#\text{subproblems} = n \cdot F$$

② Try/Guess = what is the finger  $g$  for notes  $[i+1:]$

$$\#\text{guesses} = \text{"for each note we need to try all fingers"} = F$$

③ Recurrence

$$\text{DP}(i, f) = \min \left( \text{DP}(i+1, g) + d(i, f, i+1, g) \right) \quad \text{for all } g \in 1, \dots, F$$



i := which note am I in

f := what is the finger for note i

④ (Always the same thing)

⑤ Original problem:

- We cannot just start on any finger;
- We need to select the finger  $\hat{f}$  that minimizes difficulty
- I.e.:

$$\min \left( \text{DP}(0, f) \text{ for } f \in 1, \dots, F \right)$$

Question: What is the running time?

- #subproblems =  $nF$
- # guesses =  $F$  (time / subproblem)
- Determining initial finger =  $F$
- Total time:  $n \cdot F \cdot F \cdot F = nF^3$ 
  - Given that  $F$  is usually pretty small this is approximately linear time, i.e.  $\Theta(n)$
- Let's look at another subproblem

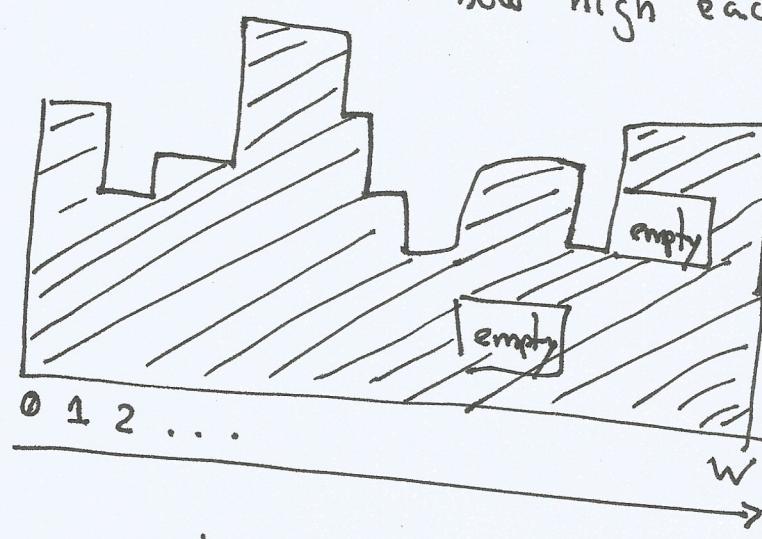
Tetris training - (restrained / constrained version)

Assume:

- Given sequence of  $n$  pieces
- For each of them we must drop the piece from the top
- No rotations are allowed whilst the piece is falling
- Full rows normally clear, but in our version they will not clear  $\rightarrow$  hardcore tetris ;)
- The width of the board  $w$  is small
- The board is initially empty
- We need all these assumptions to be able to use dynamic programming

## ④ Subproblems:

- Obvious thing to try is suffixes;
- How to play suffix pieces[:]  
(initial subproblem formulation)
- Just like the fingerings problem this is not enough information
- We need to know what the board looks like
- All we care about is how high each column is:



This is called the skyline

- The new subproblem formulation:

How to play suffix pieces[:] given board skyline

- **Question:** How many possible configurations do we have for the skyline?

- height of the board :=  $h$  (from  $\emptyset$  to  $h$ )
- Therefore we have:  $(h+1)$  combinations/spans for 1<sup>st</sup> column  
 $(h+1)$  " " " " "  $\vdots$   $w^{th}$  column

$\therefore$  We have  $\underbrace{(h+1) \times (h+2) \times \dots \times (h+1)}_{w \text{ times}} = (h+1)^w$  combinations

- For each one of the suffixes we need to take into account the skyline
- This implies that for  $n$  we need to calculate  $(h+1)^w$
- #subproblems =  $n \cdot (h+1)^w$  (exponential)  
in  $w$

This is why we need  $w$  to be small

## ② Guess / Try

- How to play piece  $i$ 
  - Normal tetris we have 4 rotations possible
  - For each column  $w$  we need to determine the best rotation
  - #guesses =  $4 \cdot w$

## ③ Recurrence:

- Goal: place each piece in sequence to survive knowing the skyline, i.e.: the height of each column.
- Let  $h_k$  - represent the height of column  $k$
- Can we survive or not?
  - This can be represented by a binary value (0 or 1)
  - We can try all possibilities and perform a logical OR operation to see if we survive
- I.e. we can have the following recurrence
 
$$DP(i, h_1, \dots, h_w) = \text{or } DP(i+1, h'_1, \dots, h_w)$$

how the skyline changed after placing piece  $i$

for each possible placement of piece  $i$

④ Always the same thing

⑤ Original problem:  $DP(0, \underbrace{0, 0, 0, \dots, 0}_{\text{empty board}})$

*first piece*

*empty board  $\Rightarrow$  skyline = 0*

**Question:** What is the total running time?

$$\# \text{ subproblems} = n(h+1)^w$$

$$\# \text{ guesses/trys} = 4 \cdot w$$

$$\text{Total time} = n(h+1)^w \cdot 4^w = \Theta(nw(h+1)^w)$$

- Let's look at another problem

### Super Mario Bros

- Given the entire level with n bits of information
- Small w x h screen
- We can solve Super Mario Bros by dynamic programming
- Various performance metrics can be used:
  - Run through a level and maximize your score
  - " " " " minimize your time
  - Pick your favourite measure
- We will need to write down what do we need to know about the game state, let's call this the configuration
  - Current position of:
    - Monsters
    - Objects
    - Rewards

Question:  
— How much information do we need to store the configuration?

- ↳ - Somewhere along the line of  $c^{w \cdot h}$ , where  $c$  is a constant
- Not very scientific, just a rough bound
  - Idea: for every pixel on the screen
    - Is the pixel a brick?
    - Is it a hard brick?
    - Is it a destroyed brick?
    - Is a monster there right now?
    - Is Mario there right now?

- ↳ We have a constant amount of choices per pixel
- $\leq$  choices for pixel 1;
  - $\leq$  " " " 2;
  - ⋮ ⋮ ⋮ ⋮
  - $\leq$  choices for pixel  $w \times h$ ;

I.e.  $\underbrace{c \times c \times \dots \times c}_{w \times h} = c^{w \cdot h}$

- Succinctly, the configuration will have:

- everything on screen:  $c^{w \cdot h}$
  - Mario's velocity:  $c$  (<sup>assume</sup> constant amount of velocity choices)
  - How far have we gone to the right:  $w$
  - score:  $S$
  - time:  $T$
- These two can be quite large integers so this hints that we will have a pseudo-polynomial

- The total number of configurations:  $C.W.C.S.T = \Theta(c^{w.h} \cdot S.T)$

W.h  
 C.W.  
 C.S.T  
 since this is a constant  
 it can be discarded

- For every configurations there is a sequence of possible actions ~~so~~ that can be performed
- Our recurrence would need to maximize/minimize these actions for all possible configurations
- Obviously we are not going to write the recursion for this  $\uparrow$
- But because we know the total number of configurations and we have a constant amount of actions to try/guess per configuration, the total running time is:  $\Theta(c^{w.h} \cdot S.T)$   
v  
 pseudo-polynomial time